



# JAMES

INVESTMENT

Your Future. Our Purpose.

[jamesinvestment.com](http://jamesinvestment.com)





Our veteran team of professionals is committed to alleviating uncertainties and providing confidence in achieving your financial goals through independent research.

## James Investment – *Founded In 1972*

At James, our culture is deeply rooted in the belief that successful investing comes from **doing our own research** and not relying on Wall Street's wisdom. In fact, we are far from the noise of Wall Street, nestled in the beautiful, rural countryside of Alpha, Ohio. We find this serene setting helps us to remain focused on our pursuit of independent thinking. Our location, although remote, has not prevented us from being nationally recognized for our performance through the years. We have earned recognition by The Wall Street Journal, The New York Times, Barron's, and USA

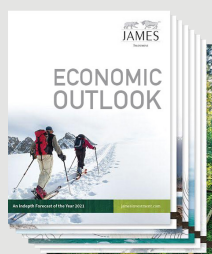
Today. Our team members are also frequent guests on CNBC, Fox Business, Bloomberg Television, and local news stations.

We offer a **diversified collection of investment strategies** and portfolios with varying risk/return profiles designed to meet a variety of investors' needs and goals. Whether you're a conservative investor seeking the safety of your principal through one of our balanced investment strategies, or a more aggressive investor seeking long-term growth in our small-cap or micro-cap equity strategies – we have the products to help meet your needs.

Contact us today to learn more about how James Investment can help secure your financial future. We offer a free consultation with one of our veteran team members to discuss your investment needs. Let us help you move forward to achieving your financial goals.

**(888) 426-7640**

**[info@jamesinvestment.com](mailto:info@jamesinvestment.com)**



### ECONOMIC OUTLOOK

Every year-end since our founding, our team conducts an in-depth analysis of the U.S. economy and financial markets to develop an Annual Economic Outlook. This forecast serves as a blueprint for our investments throughout the year.

## Why Invest With James?



**Disciplined,  
Repeatable Process**



**NOT A Closet, or  
Enhanced Index**



**Our Stock Selection  
Has Potential To Add  
Value**



**Veteran  
Team**



**Independent  
Research**



# Multiple Service Offerings

It is our goal to empower our clients to have peace of mind about their investments. With this goal in mind, we offer investors our services through the following investment outlets. Our time-tested active investment approach focuses on the reduction of risk.

1. James Investment provides **tailor-made portfolio management** for accounts beginning at \$1 million. As a private client of James Investment, you will have an active relationship with one of our experienced, qualified portfolio managers and client relationship managers. A custom-tailored portfolio also means a custom-tailored relationship. We think touching base quarterly through in-person meetings, web-based meetings, conference calls, or e-mail leads to successful communication. We will, of course, accommodate your preferences.
2. James **managed wrap accounts** (offered by several brokerage firms whereby annual management fees and trading costs are “wrapped” into one fee) begin at \$100,000. We work with both independent and larger brokerage firms to deliver separately managed accounts. This includes a long term, active investment discipline - not a passive strategy or an index.
3. Finally, James Investment is the adviser to its own family of no-load **mutual funds**, The James Advantage Funds. Our funds are available on several major platforms nationwide. Personal investors can open an account in one of our funds for as little as \$50. Independently, or in a customized blend, **The James Advantage Funds** can help provide necessary diversification to help reach your investment goals.

The clients we serve are as diverse as the investments we manage. In addition to personal investors, we work for all types of **retirement plans, hospitals, educational** and **religious endowments, family foundations, and financial institutions**.

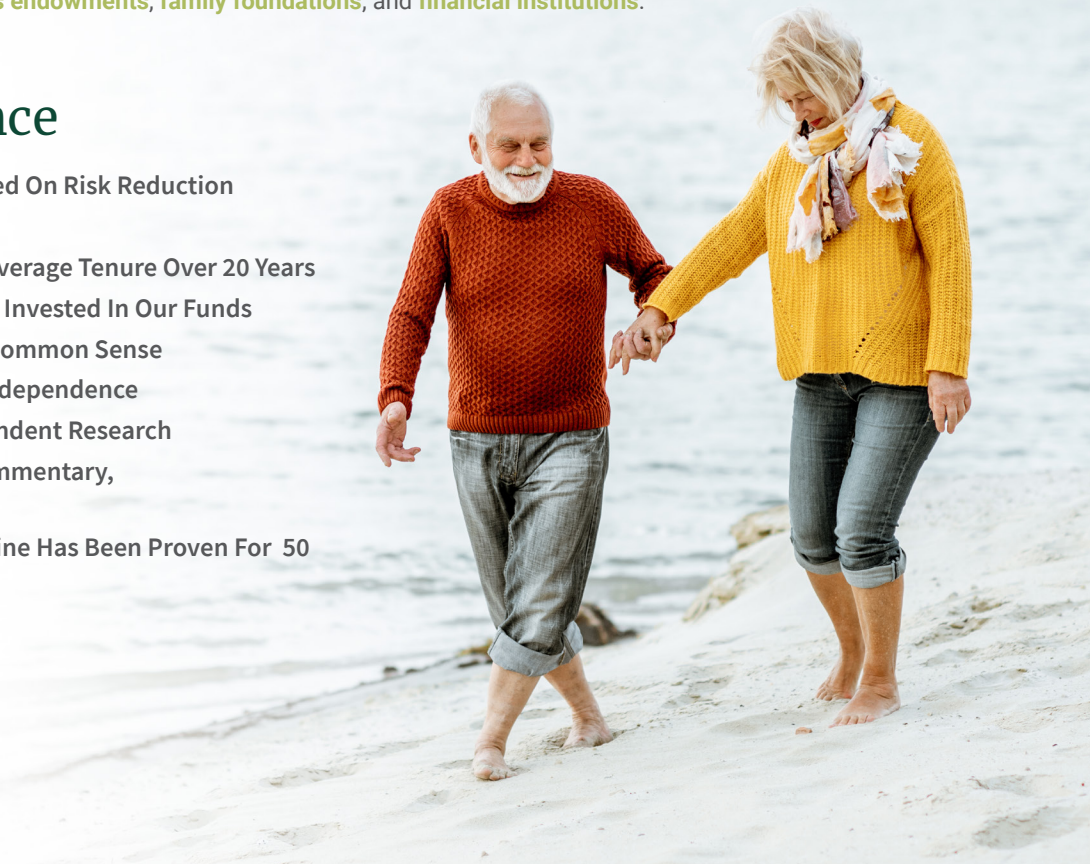
## The James Difference

- An Active Allocation Approach Focused On Risk Reduction
- Team Focus - Putting Clients First
- Seasoned Investment Committee - Average Tenure Over 20 Years
- Team Commitment - Personal Money Invested In Our Funds
- Our Approach: Current, Contrarian, Common Sense
- Succession Plan In Place To Assure Independence
- Economic Outlook Guided By Independent Research
- Client Access To Frequent Market Commentary, Web Portal & Special Studies
- Long term, Active Investment Discipline Has Been Proven For 50 Years

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*“By re-evaluating everything we do on an ongoing basis, we continually keep ourselves fresh, and that’s one of the reasons we’ve been able to stick around for 50 years.”*

**Barry James, President & CEO**



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We are pioneers in the investing space. Having incorporated at the end of 1972 and at the start of one of the country's worst bear markets, our investment discipline was put to the test right from the beginning. We delivered clients positive returns in those early years, proving the success of our capital preservation-oriented discipline. This same discipline has guided us through many more difficult markets through our long history, and we remain confident that it will continue to serve our clients well today and into the future.

We'd be honored for the opportunity to help guide your investments to success.

Your Future. **Our Purpose.**



All investing involves risk, including the loss of principle.

James Advantage Funds are offered only to US citizens and residents and any information on the website is intended solely for that purpose. Nothing on this website should be considered a solicitation to buy or an offer to sell any James funds in any jurisdiction where offer or solicitation would be unlawful under the securities laws of such jurisdiction. For international investors, please see Institutional.

Small and Micro Cap investing involve greater risk not associated with investing in more established companies, such as greater price volatility, business risk, less liquidity and increased competitive threat. Fund holdings and sector weightings are subject to change without notice. Past performance is no guarantee of future results.

The James Advantage Funds are distributed by Ultimus Fund Distributors, LLC, Member FINRA. Call 1-800-995-2637 for a free copy of the prospectus or visit [www.jamesinvestment.com](http://www.jamesinvestment.com). Investors should consider the investment objectives, risks and charges and expenses of the investment carefully before investing. The prospectus contains this and other information about the funds and should be read carefully before investing. 16022200-UFD-11/21/2022